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Evolution of tumor subclones and T-cell dynamics underlie variable ibrutinib responses in Waldenström macroglobulinemia

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Abstract:

To elucidate the molecular basis underlying differential response and resistance to ibrutinib in Waldenström's macroglobulinemia (WM), we conducted a prospective phase II trial (ClinicalTrials.gov; NCT02604511) of ibrutinib monotherapy in treatment-naïve patients. Seventy-four sequential bone marrow (BM) aspirates from 17 patients, collected from baseline through 48 treatment cycles, were profiled using single-cell multi-omics. BM cells segregated primarily into B/plasma cell and T-cell compartments. Longitudinal clonal tracking of malignant B/plasma cells identified three distinct evolutionary patterns: "evolution" (early clone contraction with late clone expansion and increasing genomic complexity), "devolution" (early clone expansion with late clone contraction and genomic simplification), and "no-evolution" (stable clonal architecture). The "evolution" pattern was strongly associated with disease progression, whereas "devolution" correlated with durable clinical response. Transcriptomic profiling of resistant clones enabled development and validation of the Waldenström's Ibrutinib Prediction (WIP) score, which predicted treatment response at baseline. Within the WIP signature, LYN emerged as a key regulator; LYN knockdown or inhibition significantly increased WM cell sensitivity to ibrutinib, suggesting a rational combinatorial strategy. In parallel, GZMB⁺ CD8⁺ effector-memory (TEM) cells expanded post-treatment in progressing patients and co-existed with tumor "evolution". These cells exhibited persistently impaired cytotoxic programs (e.g., GNLY), a de-differentiated memory-like state, elevated PDCD1 expression, and reduced TCR diversity. Together, this study provides the first single-cell framework of tumor clonal evolution and T-cell dysfunction under ibrutinib in WM; introduces the WIP score as a predictive biomarker for treatment response; and identifies actionable tumor-intrinsic and immune mechanisms driving resistance.

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1 **Evolution of tumor subclones and T-cell dynamics underlie variable ibrutinib responses in**
2 **Waldenström macroglobulinemia**

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43

44 **Key Points**

- 45 - Three distinct evolutionary patterns of tumor subclone are associated with variable
46 ibrutinib response and predicted by the WIP score.
- 47 - Expanded GZMB⁺ CD8⁺ TEM cells exhibit CD27⁺ TCF1⁺ de-differentiated features and
48 impaired cytotoxicity in non-responders after treatment.

49 **Abstract**

50 To elucidate the molecular basis underlying differential response and resistance to ibrutinib
51 in Waldenström's macroglobulinemia (WM), we conducted a prospective phase II trial
52 (ClinicalTrials.gov; NCT02604511) of ibrutinib monotherapy in treatment-naïve patients.
53 Seventy-four sequential bone marrow (BM) aspirates from 17 patients, collected from
54 baseline through 48 treatment cycles, were profiled using single-cell multi-omics. BM cells
55 segregated primarily into B/plasma cell and T-cell compartments. Longitudinal clonal
56 tracking of malignant B/plasma cells identified three distinct evolutionary patterns:
57 "evolution" (early clone contraction with late clone expansion and increasing genomic
58 complexity), "devolution" (early clone expansion with late clone contraction and genomic
59 simplification), and "no-evolution" (stable clonal architecture). The "evolution" pattern was
60 strongly associated with disease progression, whereas "devolution" correlated with durable
61 clinical response. Transcriptomic profiling of resistant clones enabled development and
62 validation of the Waldenström's Ibrutinib Prediction (WIP) score, which predicted treatment
63 response at baseline. Within the WIP signature, LYN emerged as a key regulator; *LYN*
64 knockdown or inhibition significantly increased WM cell sensitivity to ibrutinib, suggesting a
65 rational combinatorial strategy. In parallel, GZMB⁺ CD8⁺ effector-memory (TEM) cells
66 expanded post-treatment in progressing patients and co-existed with tumor "evolution".
67 These cells exhibited persistently impaired cytotoxic programs (e.g., *GNLY*), a
68 de-differentiated memory-like state, elevated *PDCD1* expression, and reduced TCR diversity.
69 Together, this study provides the first single-cell framework of tumor clonal evolution and
70 T-cell dysfunction under ibrutinib in WM; introduces the WIP score as a predictive biomarker
71 for treatment response; and identifies actionable tumor-intrinsic and immune mechanisms
72 driving resistance.

73 **Introduction**

74 Waldenström macroglobulinemia (WM) is a rare indolent B-cell lymphoma characterized by
75 bone marrow (BM) infiltration with lymphoplasmacytic cells and secretion of monoclonal
76 IgM.¹ More than 95% of WM patients harbor *MYD88* mutations that activate Bruton's
77 tyrosine kinase (BTK), establishing ibrutinib as the first FDA- and EMA-approved BTK inhibitor
78 for WM.²⁻⁴ While some patients derive durable remission from ibrutinib, a substantial
79 fraction relapse early.⁴⁻⁷ Two clinical challenges remain: identifying patients unlikely to
80 benefit from ibrutinib before treatment, and defining the molecular and immunologic drivers
81 of relapse.

82 Across B-cell malignancies, resistance to targeted therapy is increasingly understood as an
83 evolutionary process shaped by therapeutic selection. Ibrutinib can favor the outgrowth of
84 pre-existing or emergent subclones through on-target alterations in BTK signaling and
85 downstream effectors,⁸⁻¹⁰ as well as broader programs involving tumor suppressor pathways
86 and genomic instability.¹¹⁻¹³ In WM, baseline genetic features, including *CXCR4* mutations¹⁴⁻¹⁶
87 and high-risk lesions such as *TP53* abnormalities and *del(6q)*^{11,17,18}, have been linked to
88 inferior depth and durability of response to ibrutinib. However, most prior studies relied on
89 bulk profiling, which masks intratumoral heterogeneity and obscures how competing tumor
90 subclones adapt under sustained BTK inhibition.¹⁹⁻²¹

91 From an evolutionary perspective, WM tumors, particularly as revealed by single-cell
92 profiling,^{22,23} consist of heterogeneous, co-existing malignant subclones. Ibrutinib imposes a
93 selective bottleneck, favoring adaptive subclones while suppressing others. However, how
94 these clonal dynamics evolve over time in patients—and how they shape the immune
95 microenvironment—remains poorly defined. Notably, despite evidence that BTK inhibition
96 alters T-cell states,^{24,25} longitudinal data on T-cell functional dynamics are limited.

97 To address these gaps, we conducted a prospective phase II trial of ibrutinib monotherapy in
98 WM, incorporating longitudinal multi-omics profiling of serial BM samples.²⁶ Using paired
99 single-cell RNA sequencing (scRNA-seq), B-cell receptor sequencing (scBCR-seq), and T-cell
100 receptor sequencing (scTCR-seq), we analyzed 74 samples with a median 5-year follow-up,
101 generating the largest single-cell dataset in WM. This enabled direct longitudinal tracking of
102 malignant subclones and immune cell states. Within this evolutionary framework, we
103 showed that WM patients followed distinct clonal evolutionary patterns under ibrutinib,
104 reflecting alternative adaptive responses to therapy. These patterns were associated with
105 differences in baseline tumor architecture, subsequent genomic remodeling, and immune
106 dysfunction. By integrating tumor and T-cell dynamics, we developed and validated a
107 baseline transcriptomic predictor of resistance, the Waldenström Ibrutinib Prediction (WIP)
108 score, identified mechanisms underlying clonal adaptation, and uncovered progressive
109 remodeling of CD8⁺ effector-memory T cells (TEM) during tumor evolution. Together, our
110 findings positioned response to ibrutinib in WM as the product of tumor-immune
111 co-evolution and provided a framework for predicting treatment outcome and guiding
112 rational therapeutic strategies.

113 **Methods**

114 **Study design and sample collection**

115 A prospective, investigator-initiated phase II trial of ibrutinib monotherapy was conducted in
116 treatment-naïve WM (ClinicalTrials.gov NCT02604511).²⁶ BM aspirates were collected at
117 baseline and during therapy (cycles 6–48).

118 **Data processing and analysis**

119 Single-cell data were processed with Cell Ranger (v7.0.0)²⁷ and Scanpy (v1.9.6)²⁸. Clonotypes
120 were reconstructed with Cell Ranger vdj,²⁷ and integrated using scirpy (v0.22.0)²⁹. Tumor
121 clonotypes were determined based on expanded BCRs, while subclonal phylogenies were
122 inferred with Numbat (v1.3.2_1).³⁰

123 **Molecular and cellular profiling**

124 Differentially expressed genes (DEGs) were assessed with two-sided Wilcoxon tests at the
125 single-cell level and PyDESeq2 (v0.4.9) at the pseudo-bulk level.³¹ Pathway analyses were
126 conducted using Ingenuity Pathway Analysis (IPA)³² and GSEAPy (v1.1.0)³³. Subclone
127 composition was modeled using scCODA (v0.1.9) to define evolutionary patterns.³⁴

128 Detailed protocols are provided in the **Supplementary Methods**.

129 **Results**

130 **Single-cell multi-omic profiling of BM tumor and immune cells under ibrutinib** 131 **monotherapy in patients with WM**

132 To elucidate determinants of ibrutinib response and resistance within malignant and immune
133 compartments, we analyzed sequential BM aspirates from WM patients enrolled in a
134 prospective phase II study of Ibrutinib monotherapy.²⁶ A total of 74 longitudinal samples
135 from 17 patients were collected at baseline and cycles 6 (6mo), 12 (1y), 24 (2y), 36 (3y), and
136 48 (4y) (**Suppl. Table 1**). These samples underwent 5' scRNA-seq, complemented with paired
137 scBCR-seq to determine malignancy (**Fig. 1A**). Treatment responses were assessed according
138 to the modified criteria of the 6th International Workshop on WM.³⁵ Patients achieving
139 partial response (PR) or better were responders (n = 11), while those with minimal response
140 (MR), stable disease (SD), or progressive disease were non-responders (n = 6). In total, we
141 obtained 634,414 high-quality cells for downstream analysis, including a large B/plasma cell
142 population (n = 148,458) and normal immune cells (n = 485,956), such as T cells, NK cells,
143 and myeloid cells (**Fig. 1B**). As the majority of cells detected were either B/plasma cells or T
144 cells, we focused downstream analyses on these populations.

145 Within the B/plasma cell compartment, malignant and normal subsets were identified using
146 the matched scBCR-seq data and normal cells were further stratified into pre-B cells,
147 memory B cells, and plasma cells (**Fig. 1C**). Malignant B cells exhibited patient-specific
148 clustering, whereas malignant plasma cells aggregated into a single cluster (n = 5,235),
149 largely contributed by patients W012 and W015 (**Suppl. Fig. 1A, B**). Malignant B cells were
150 further stratified into memory B cell-like (MBC-like) and plasma cell-like states based on
151 established markers,²³ and WM patient subtypes were defined according to the dominant
152 cell state (**Suppl. Fig. 1C–E**). No significant association was observed between these WM

153 subtypes and clinical response to ibrutinib (**Suppl. Table 2**). As expected, responders
154 exhibited a sustained decrease in tumor cell proportion, whereas non-responders showed
155 fluctuating or increasing tumor cell levels (**Suppl. Fig. 1F**).

156 In the T cell compartment (n=286,077), we identified CD4⁺ and CD8⁺ naïve (TN) and central
157 memory T (TCM) cells, regulatory T cells (Tregs), GZMK⁺ CD4⁺ T cells, GZMB⁺ CD4⁺ T cells,
158 CD8⁺ effector memory (TEM) subsets characterized by either high GZMK or high GZMB
159 expression (the latter subdivided by KIR gene expression), $\gamma\delta$ T cells (Tgd),
160 mucosal-associated invariant T (MAIT) cells, metallothionein-high T cells (MT⁺ T), and
161 interferon stimulation gene-expressing (IFN⁺) T cells (**Fig. 1D**).

162 **Distinct evolutionary patterns in malignant B cells associated with response to ibrutinib in** 163 **patients with WM**

164 To characterize clonal dynamics during ibrutinib monotherapy, we inferred copy number
165 variants (CNVs) in malignant cells using Numbat³⁰ (**Suppl. Fig. 2A**) and reconstructed
166 phylogenetic trees in 13 patients; no CNVs were detected in four patients.

167 In patient W010, malignant cells comprised a dominant tumor with three subclones (**Fig. 2A**):
168 clone 1 lacked CNVs, clone 2 acquired amp(6p) and del(6q), and clone 3 acquired an
169 additional del(20) (**Fig. 2B**). Transcriptomic clustering paralleled CNV-based subclones, and
170 pseudotime analysis outlined a trajectory from pre-B to memory B cells with sequential
171 emergence of clones 1–3. (**Fig. 2A**). Longitudinal tracking revealed early clone 1 dominated
172 at baseline, whereas late clone 3 expanded after six cycles of ibrutinib and persisted through
173 cycles 12–48 (**Fig. 2C**). This patient harbored truncating CXCR4 p.T318fs and an ARID1A
174 frameshift deletion at both baseline and post-treatment. In addition, TBK1 p.E355K and TBK1
175 p.G356K emerged following treatment (**Suppl. Fig. 2B**). This pattern, contraction of early
176 tumor subclones and expansion of genomically complex late subclones post-therapy, was
177 termed “evolution”. In total, we identified “evolution” in 4/13 patients (31%, 95% CI: 10-61)
178 (**Fig. 2G**).

179 In contrast, patient W003 exhibited the opposite pattern. Phylogenetic reconstruction
180 identified four subclones: clone 1 (earliest, no CNV), clone 2 (del(6q)), clone 3 (additional
181 del(7q)), and clone 4 (latest, additional amp(4q)) (**Fig. 2D, E**). Pseudotime analysis revealed
182 stepwise differentiation from clone 1 to clone 4, with malignant plasma cells enriched at the
183 terminal differentiation (**Fig. 2D**). Clone 4 dominated at baseline but contracted after
184 treatment, while clone 1 expanded and persisted. This patient harbored nonsense mutations
185 in ARID1A and UBE2G1 at baseline, both of which were no longer detectable post-treatment
186 (**Suppl. Fig. 2B**) and achieved a durable PR (**Fig. 2F**). We termed this “devolution”, defined by
187 enrichment of early clones and reduction of late tumor clones under ibrutinib, resulting in
188 decreased genomic complexity. Devolution occurred in 6/13 patients (46%, 95% CI: 20-74%)
189 (**Fig. 2G**).

190 A third pattern, termed “no-evolution”, occurred in 3/13 patients (23%, 95% CI: 6-54%),
191 characterized by stable clonal proportions and minimal CNV alterations during treatment. A
192 representative patient is visualized in **Suppl. Fig. 3A–C**.

193 Notably, transcriptomic pseudotime consistently correlated with genomic evolution across

194 the 13 patients with detectable CNVs. Clonal assignments based on CNVs also showed strong
195 concordance with transcriptomic clusters within each patient (two-sided Spearman's $r=0.68$,
196 $P<1e-16$) (**Suppl. Fig. 3D, E**), supporting the use of transcriptomic clusters as a surrogate for
197 clonal mode classification. Accordingly, in the four patients without CNVs, transcriptomic
198 clusters were used to infer evolutionary patterns: three "devolution" and one "no-evolution".

199 Integrating all 17 patients, the distribution of clonal evolutionary patterns differed
200 significantly between ibrutinib responders and non-responders. In three of four "evolution"
201 patients, major clonal shifts were detected 6–24 months before clinical progression (**Fig. 2G**).
202 Among responders, the majority displayed "devolution" (81.8%), whereas none of the
203 non-responders exhibited "devolution". In contrast, "evolution" was observed exclusively in
204 non-responders (66.7%) (**Suppl. Table 3**; two-sided Fisher's exact test, $P=0.00097$). The
205 association remained significant when limited to CNV-based analyses (**Suppl. Table 4**).

206 Consistent with these patterns, "evolution" patients showed an initial decrease followed by a
207 rebound increase in CNV burden after treatment, whereas "no-evolution" and "devolution"
208 patients exhibited sustained or fluctuating decreases (**Suppl. Fig. 3F**). Gain-of-function CXCR4
209 mutations, including p.S338*, p.S342*, and p.T318fs, were detected in 75% of "evolution"
210 patients, significantly higher than "devolution" (22%) and "no-evolution" (25%) (two-sided
211 Fisher's exact test, $P=0.038$) (**Suppl. Fig. 2B**). del(6q) tended to be more prevalent in
212 "evolution" patients (75%) than in other groups (**Suppl. Fig. 2A**).

213 **Transcriptomic signature of resistant clones predicts response to ibrutinib at diagnosis**

214 We next sought transcriptional predictors of response and resistance to ibrutinib. Because
215 resistant subclones may represent only a minor fraction at baseline, we performed
216 subclone-aware differential expression (SADE) analysis, comparing resistant clones
217 (expanded post-treatment) and sensitive clones (contracted during therapy) within the same
218 tumor at baseline across the four "evolution" patients who progressed.

219 We identified 130 genes consistently dysregulated in resistant clones (93 upregulated, 37
220 downregulated) across all four patients (**Suppl. Fig. 4A, B**). Functional enrichment grouped
221 these genes into ten clusters (**Fig. 3A**). A "response to unfolded protein" cluster (e.g., *HSPA5*,
222 *HSP90B1*, *CALR*, *XBP1*) was downregulated in resistant clones, whereas multiple clusters
223 were upregulated, including "antigen processing and presentation" (e.g., *HLA-DRB5*, *CD74*),
224 "E3 ubiquitin ligase complex" (e.g., *CUL4A*, *CTNNB1*), and "B-cell receptor signaling" (e.g.,
225 *LYN*, *SYK*, *PRKCB*, *DOCK8*) (**Fig. 3B**).

226 We ranked genes by an integrated importance score combining fold change and network
227 connectivity, identifying 30 high-importance genes (>0.6 ; 17 upregulated, 13 downregulated;
228 **Fig. 3C**). From this set, we derived a Waldenström's Ibrutinib Prediction (WIP) score and
229 validated it in an independent bulk RNA-seq dataset from 47 WM patients treated with
230 ibrutinib monotherapy (excluding derivation cases). Patients with high baseline WIP scores
231 had a significantly greater risk of disease progression than those with low scores (two-sided,
232 log-rank $P=0.013$) (**Fig. 3D**).

233 **Targeting WIP gene *LYN* overcomes ibrutinib resistance**

234 To functionally validate the WIP signature and evaluate its therapeutic relevance, we focused

235 on *LYN*, the top-ranked WIP gene by importance score (Fig. 3C). Short-hairpin–mediated *LYN*
236 knockdown in WM cell lines (BCWM.1 and MWCL-1) reduced phosphorylation of
237 BCR-downstream kinases, including p-STAT3, p-AKT, p-SYK, and p-ERK, while inducing
238 compensatory p-IkB α , most prominently in MWCL-1 (Fig. 3E). Moreover, we observed
239 significantly enhanced sensitivity to ibrutinib, as well as increased apoptosis post-ibrutinib in
240 WM cell lines with *LYN* knockdown (Fig. 3F and Suppl. Fig. 4C-3E).

241 To test whether *LYN* inhibition can overcome resistance to ibrutinib, we generated
242 ibrutinib-resistant WM cell lines via gradual dose escalation (Fig. 3G, H). Ibrutinib-resistant
243 WM cell lines exhibited elevated *LYN* expression by qPCR (Fig. 3I). We then evaluated a
244 covalent BTK/*LYN* dual inhibitor,³⁶ which displayed potent inhibition of BTK and *LYN* kinase
245 activities (IC₅₀<0.6 nM; Suppl. Fig. 4F, G). The dual inhibitor increased cytotoxicity and
246 apoptosis in WM cell lines, particularly in resistant cells, effectively overcoming ibrutinib
247 resistance (Fig. 3J and Suppl. Fig. 4H, I).

248 Immunoblotting confirmed that ibrutinib-resistant cell lines expressed higher levels of *LYN*
249 protein and maintained BTK phosphorylation despite ibrutinib exposure, whereas the
250 BTK/*LYN* dual inhibitor suppressed phosphorylation of both kinases (Fig. 3K). Together, these
251 results indicate that the WIP signature captures actionable dependencies and dual BTK/*LYN*
252 inhibition may benefit patients with high WIP score who are less likely to respond to ibrutinib
253 alone.

254 **Divergent usage of gene programs post-therapy between resistant and sensitive clones in** 255 **patients with WM**

256 To characterize transcriptional remodeling induced by ibrutinib, we compared
257 post-treatment and baseline gene expression separately for early and late tumor clones
258 within each patient and evaluated pathway activities using IPA. We analyzed results by
259 evolutionary pattern (“evolution”, “devolution”, or “no-evolution”) and identified 27
260 pathways altered in $\geq 75\%$ of patients within each group.

261 In “evolution” patients (n = 4), late tumor clones showed upregulation of PD-L1,
262 inflammatory, and interferon- γ signaling after treatment, whereas early clones showed little
263 change (Fig. 4A and Suppl. Fig. 5A). BCR signaling was suppressed more strongly in early
264 than late clones, while oxidative phosphorylation followed a biphasic pattern—initially
265 increasing, then declining with continued therapy.

266 In contrast, an inverse pattern was observed in tumor cells from “devolution” patients, with
267 late clones exhibiting greater reductions in interferon, MYC, NF- κ B, and BCR signaling
268 compared with early clones (Suppl. Fig. 5B, 6A). In line with their clonal equilibrium, we
269 observed no consistent upregulation of signaling pathways after treatment in “no-evolution”
270 patients (Suppl. Fig. 6B).

271 In “evolution” patients, hypoxia signaling progressively increased in late resistant clones
272 across treatment cycles but decreased in early sensitive clones (Fig. 4B). Moreover, six of the
273 hypoxia-associated genes—*HIF1A*, *DUSP1*, *MAP3K1*, *CXCR4*, *CDKN1A*, and *BTG1*—were
274 consistently upregulated in late tumor clones after ibrutinib (Fig. 4C and Suppl. Fig. 7A–7F).
275 Compared with memory B cells from healthy donors (HDs; n = 13), WM cells from

276 treatment-naïve patients (n = 266) expressed significantly lower *HIF1A* (Suppl. Fig. 7H).
277 Notably, in two of four patients, late resistant clones already exhibited significantly higher
278 *HIF1A* expression at baseline than normal memory B cells (Suppl. Fig. 7I). To validate this
279 observation in our cell line models, we performed immunofluorescence and flow cytometry
280 experiments and demonstrated that resistant WM cell lines express higher levels of HIF-1 α
281 protein at baseline compared to sensitive lines, and this difference is further enhanced
282 following ibrutinib treatment (Fig. 4D, E and Suppl. Fig. 6G). Collectively, the data suggest
283 that a subset of WM resistant clones has elevated baseline *HIF1A* and that ibrutinib
284 preferentially selects for *HIF1A*-high cells. These findings implicate hypoxia signaling as a
285 contributor to ibrutinib resistance and provide mechanistic insight into pathways underlying
286 response and relapse.

287 Exclusively expanded GZMB⁺ CD8⁺ TEM cells in “evolution” patients exhibit 288 de-differentiation into dysfunctional CD27⁺ TCF7⁺ memory-like T cells

289 Seeing that clonal evolution underlay progression post-treatment with ibrutinib, we
290 hypothesized that T cell clonal dynamics may also change in relation to tumor evolution and
291 therapeutic pressure. Using scTCR-seq across all samples, we identified T cell clones based
292 on shared TCR sequences, which were further classified as small, medium, or large based on
293 their relative abundance within each sample, representing increasing degrees of clonal
294 expansion. Consistent with prior reports,³⁷ clonal expansion occurred predominantly within
295 cytotoxic effector-memory T cells (Fig. 5A). Longitudinal analysis of TCR diversity, quantified
296 by the Chao1 index—a richness-based estimator in which lower values indicate reduced
297 diversity and increased clonal dominance—revealed a significant decrease in diversity
298 following ibrutinib treatment in “evolution” patients, indicating enhanced T cell clonal
299 expansion. In contrast, patients with “devolution” and “no-evolution” patterns exhibited a
300 significant increase in TCR diversity over time (Fig. 5B). Moreover, large T cell clones (>10%
301 of the TCR repertoire per sample) were significantly overrepresented in “evolution” patients
302 compared with the other groups (Fisher’s exact test, *P* = 0.012) (Fig. 5C). Together, these
303 findings indicate that pronounced T cell clonal expansion accompanies tumor clonal
304 evolution and may reflect T cell dysfunction or ineffective tumor clearance.

305 To explore how T cell clonal expansion is associated with T cell functionality, we next
306 analyzed the phenotype of clonally expanded T cells. We observed that large T cell clones
307 were primarily of the GZMB⁺ CD8⁺ TEM cell (KIR⁺ or KIR⁻) phenotype and originated from
308 small clones present at baseline, while Tregs remained exclusively within small clones and
309 showed no evidence of expansion post-therapy (Fig. 5D). Additionally, GZMB⁺ CD8⁺ TEM cells
310 (KIR⁺ or KIR⁻) expanded significantly in proportion in “evolution” patients after ibrutinib
311 therapy, but remained stable or declined in other patterns (Fig. 5E and Suppl. Fig. 8A, B).
312 Interestingly, T cells from large clones exhibited significantly reduced cytotoxic scores
313 compared to their non-expanded counterparts within each patient (Fig. 5F). Differential
314 expression analysis further revealed that within GZMB⁺ CD8⁺ TEM cells, large expanded T
315 cells across post-treatment timepoints expressed lower levels of multiple cytotoxic effector
316 genes, including *NKG7*, *GZLY*, and *PRF1*, compared with non-expanded T cells (Fig. 5G).
317 Notably, however, they expressed higher levels of *CD27*, *IL7R*, and *TCF7*, indicating a

318 de-differentiated, memory-like phenotype. At the cohort level, cytotoxic scores of GZMB⁺
319 CD8⁺ TEM cells remained consistently higher in “devolution” patients compared to both
320 “evolution” and “no-evolution” patients, suggesting that T cell cytotoxicity scores may play a
321 role in tumor evolution (**Fig. 5H**).

322 Comparative transcriptomic analysis showed that, relative to “devolution”, GZMB⁺ CD8⁺ TEM
323 cells in “evolution” patients upregulated *BCL2*, *UBASH3B*, *SIRPG*, *CD27*, and *TCF7*, while
324 downregulating *GNLY*, *CD247*, *TXK*, *TNFRSF18*, *FCER1G*, *S100A4*, and *IGFBP7*. The expression
325 pattern in “no-evolution” patients largely resembled that of “evolution” patients (**Fig. 5I and**
326 **Suppl. Fig. 8C**). Pathway enrichment analysis revealed reduced interferon signaling alongside
327 increased hypoxia, CXCR4 signaling, and TNF- α signaling (**Suppl. Fig. 8D**). In patients
328 belonging to the “evolution” group, *HIF1A* expression showed an increasing trend following
329 ibrutinib therapy (paired Wilcoxon test, $P = 0.127$; **Suppl. Fig. 8E**).

330 **Baseline transcriptional features of GZMB⁺ CD8⁺ TEM cells predict various response to** 331 **ibrutinib**

332 Given the pronounced differences in expansion dynamics and transcriptional programs of
333 GZMB⁺ CD8⁺ TEM cells across clonal evolutionary patterns after ibrutinib, we next examined
334 whether their baseline expression signatures were associated with treatment outcomes. At
335 diagnosis, GZMB⁺ CD8⁺ TEM cells from non-responders versus responders displayed 58
336 differentially expressed genes (**Suppl. Table 6**). Downregulated genes in non-responders
337 included *GNLY*, *CD247*, *CD226*, *S100A4*, *S100A6*, *SERPINB1*, *TXK*, and *BOK*, whereas
338 upregulated genes included *LGALS9*, *CDK6*, *STK10*, *TNFSF9*, and *TGFBR3* (**Fig. 6A, B**). Among
339 these, *GNLY* expression was significantly lower in non-responders, with strong discriminatory
340 power between responders and non-responders (AUC = 0.91; **Fig. 6C**).

341 Based on these differential genes, we derived a non-responder GZMB⁺ CD8⁺ TEM score,
342 which was significantly higher in non-responders compared to responders (**Fig. 6C**).
343 Importantly, integration with tumor-intrinsic features revealed that baseline WIP scores of
344 malignant cells were negatively correlated with *GNLY* expression in GZMB⁺ CD8⁺ TEM cells,
345 and positively correlated with the non-responder GZMB⁺ CD8⁺ TEM score (**Fig. 6D**).
346 Single-cell BM profiles from six age-matched HDs, processed using the same workflow as
347 WM patient samples, served as normal controls for GZMB⁺ CD8⁺ TEM cells (**Suppl. Fig. 8F, G**).
348 Overall, HDs exhibited intermediate expression levels of the non-responder TEM gene set
349 compared with responders and non-responders (**Fig. 6E**). Specifically, *GNLY* expression in
350 responders was comparable to that in HDs, whereas it was significantly downregulated in
351 non-responders. Consistently, the non-responder TEM score was lower in responders than in
352 HDs but markedly elevated in non-responders relative to HDs (**Fig. 6F**). Analysis of T cell
353 exhaustion-associated genes demonstrated significantly elevated *PDCD1* expression in
354 GZMB⁺ CD8⁺ TEM cells from WM patients relative to HDs (**Fig. 6G and Suppl. Fig. 8H**).
355 Moreover, *PDCD1* expression was highest in “evolution” patients compared with the other
356 two evolutionary groups (**Fig. 6H**).

357 Finally, we delineated the dynamic co-evolution of tumor and T-cell compartments in
358 progressing patients under ibrutinib (**Fig. 6I**). Tumor cells exhibited an “evolution” pattern of

359 clonal architecture, with early CNV-negative clone contracting after therapy, while
360 genomically complex late clones—characterized by upregulated *LYN* expression at baseline
361 and induction of HIF-1 α under treatment—emerged as dominant. Concurrently, T cells in
362 these patients showed declining TCR diversity after therapy. Baseline GZMB⁺ CD8⁺ TEM cells
363 exhibited reduced *GNLY* expression and elevated *PDCD1* levels. Following treatment, these
364 cells expanded proportionally yet maintained low cytotoxicity, acquired hypoxia signaling,
365 and adopted a de-differentiated CD27⁺ TCF7⁺ memory-like phenotype.

366 Discussion

367 The introduction of BTK inhibitors, including ibrutinib, has markedly improved outcomes
368 across multiple B-cell malignancies, including WM.³⁸⁻⁴⁰ Nevertheless, resistance and relapse
369 remain major clinical challenges.⁴¹⁻⁴³ Conceptually, escape from BTK inhibition can arise
370 through several non-mutually exclusive routes: (i) reactivation of BCR/BTK pathway signaling
371 via on-target or proximal signaling alterations,^{8,44-46} (ii) adaptive bypass and pro-survival
372 rewiring that reduces BTK dependence,⁴⁷⁻⁵³ and (iii) a permissive genomic context, often
373 reflected by cytogenetic lesions or tumor suppressor disruption.^{12,13,17,54} In parallel, BTK
374 inhibition can reshape immune states, including T-cell subsets and inhibitory receptor
375 programs,^{24,25,55-58} raising the possibility that treatment failure reflects coordinated tumor-
376 immune adaptation rather than tumor-intrinsic escape alone. Despite these insights, how
377 tumor subclonal evolution and longitudinal T-cell dynamics jointly shape response to
378 ibrutinib remains poorly understood, and biomarkers that predict response or relapse early
379 are lacking.

380 To address these challenges, we performed longitudinal single-cell multi-omics profiling
381 within a prospective phase II trial of ibrutinib monotherapy. Across 74 sequential BM
382 samples from 17 WM patients, we analyzed more than 630,000 high-quality cells and
383 reconstructed patient-specific clonal architectures. This revealed three distinct evolutionary
384 patterns: “evolution,” “devolution,” and “no-evolution,” each strongly associated with clinical
385 response. The “evolution” pattern could be detected 6–24 months before progression,
386 suggesting that longitudinal genomic monitoring may serve as an early-warning system for
387 relapse. Similar genomic surveillance approaches have shown promise in CLL and other B-cell
388 malignancies, supporting the feasibility of this strategy in WM.⁵⁹⁻⁶¹ Early clonal evolution
389 events were closely associated with subsequent progression, underscoring the potential of
390 genomic monitoring for preemptive intervention.⁵⁴

391 Within this evolutionary framework, we further showed that baseline tumor architecture
392 constrains subsequent adaptive patterns. The WIP score captured transcriptional features of
393 tumor cells that predisposed to evolutionary escape under ibrutinib. Functionally, we
394 identified *LYN* as a key mediator of resistance captured by the WIP program and
395 demonstrated that dual BTK/*LYN* inhibition restored sensitivity in resistant models.
396 Consistently, preclinical models have shown that concurrent inhibition of BTK and *LYN*
397 synergistically induces tumor apoptosis and suppresses compensatory signaling.^{8,36,62}

398 Beyond tumor-intrinsic adaptation, immune remodeling emerged as an integral component
399 of treatment failure. In “evolution” patients, GZMB⁺ CD8⁺ TEM cells expanded during therapy

400 but adopted a de-differentiated CD27⁺ TCF1⁺ memory-like state with reduced cytotoxicity,
401 increased *PDCD1* expression, and activation of *BCL2* and hypoxia-related programs. This
402 phenotype parallels exhaustion-associated de-differentiation arrest described in chronic
403 infection and tumor settings, where persistent antigen stimulation and metabolic stress drive
404 T cells toward progenitor-like or hyporesponsive states instead of achieving full cytolytic
405 activation.⁶³⁻⁶⁶ Notably, both baseline and post-treatment features of GZMB⁺ CD8⁺ TEM cells
406 were tightly correlated with clinical outcomes, underscoring immune dysfunction as a
407 contributor to incomplete tumor clearance.^{37,67}

408 Strikingly, we observed convergent activation of hypoxia-associated pathways in both
409 resistant tumor clones and dysfunctional TEM cells. These findings suggested that metabolic
410 adaptation represents a shared axis of resistance across tumor and immune compartments.
411 Therapeutic strategies targeting hypoxia signaling may attenuate resistance in both tumor
412 and T-cell compartments.^{68,69}

413 Together, our results supported a unified model in which ibrutinib response in WM reflected
414 the outcome of tumor-immune co-evolution under selective pressure. Baseline tumor states
415 shaped evolutionary potential, ibrutinib imposed selective bottlenecks that favored adaptive
416 subclones, and immune dysfunction limited durable tumor control. By integrating the WIP
417 score, longitudinal genomic surveillance, and immune-informed therapeutic targeting, our
418 study outlined a precision framework for managing WM under BTK inhibition that may
419 extend to other B-cell malignancies. Limitations included the modest cohort size and the
420 exploratory nature of the study. The mechanisms driving T-cell reprogramming and strategies
421 to restore durable cytotoxic function warranted further investigation.

422 In conclusion, our study provides the largest single-cell multi-omics atlas in WM to date,
423 delineating the co-evolution of tumor subclones and T-cell compartments under BTK
424 inhibitor pressure. By defining distinct evolutionary trajectories, establishing a baseline
425 predictor of resistance, identifying actionable signaling dependencies, and uncovering
426 immune dysfunction as a determinant of relapse, our work advances a mechanistic and
427 translational framework for overcoming BTK inhibitor resistance in WM and related B-cell
428 malignancies.

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439 **Authorship contributions:**

440 SPT conceived and designed the study. SPT, RSP, and SRL supervised the study and provided
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442 SRL and SQL performed single-cell multi-omic library preparation and sequencing. HS, XL, SRL,
443 NT, MLG, AK, ALP, and DP processed samples and carried out laboratory experiments. JMH
444 conducted drug synthesis and enzymatic inhibition assays. HS analyzed and interpreted the
445 experimental data. NT, ARG, AG, CAF, CJP, KM, ARB, SRS, JJC, and SPT identified and collected
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649

650 **Figure legends**

651 **Fig. 1 | Longitudinal single-cell multi-omics profiling of bone marrow (BM) cells from WM**
652 **patients treated with ibrutinib.**

653 **(A)** Schematic overview of sample collection and treatment response in the ibrutinib
654 monotherapy clinical trial. The y-axis lists individual patient identifiers, and the x-axis
655 indicates follow-up time points (baseline; cycle 6 [C6, 6 months, 6mo], cycle 12 [C12, 1 year,
656 1y], cycle 24 [C24, 2 years, 2y], cycle 36 [C36, 3 years, 3y], and cycle 48 [C48, 4 years, 4y]).
657 Colored circles denote clinical response categories: very good partial response (VGPR),
658 partial response (PR), minor response (MR), stable disease (SD), progressive disease (PD),
659 and not available (NA). Black triangles mark time points at which BM aspirates were
660 collected for single-cell multi-omic profiling (scRNA-seq, BCR, and TCR sequencing).

661 **(B)** UMAP visualization of all BM cells passing quality control ($n = 634,414$), with each dot
662 representing a single cell. Colors indicate groupings or *V(D)J/CD19/CD3E* expression. Five
663 panels illustrate patient origin, treatment time point, response category, TCR and BCR
664 abundance, and cell type along with *CD19* and *CD3E* expression (natural log-transformed,
665 normalized UMI counts). B/plasma cell and T-cell clusters are labeled. TCR abundance
666 denotes the number of T cells sharing the same TCR. BCR abundance denotes the number of
667 B/plasma cells sharing the same BCR.

668 **(C)** UMAP visualization of reclustered B/plasma cells ($n = 148,458$), with dots colored by
669 subgroup. The five panels display patient origin, treatment time point, response category,
670 BCR clonotype, and cell subtype. Normal B/plasma cell populations are annotated.

671 **(D)** UMAP visualization of reclustered T cells ($n = 286,077$), with dots colored by subgroup.
672 The four panels show patient origin, treatment time point, response category, TCR
673 abundance, and cell subtype.

674 **Fig. 2 | Tumor clonal phylogenies and evolutionary patterns associated with response to**
675 **ibrutinib in WM patients.**

676 **(A)** UMAP visualization of reclustered B/plasma cells from a representative patient with
677 disease progression (W010). Each dot represents a single cell, colored by subgroup identity
678 or pseudotime order. Six panels display cell subtypes, BCR clonotypes, CNV-based tumor
679 clones, transcriptome-defined subclusters, pseudotime trajectories, and treatment cycle.
680 Black line segments denote pseudotime differentiation paths inferred from transcriptomic
681 data. The last three panels pertain to primary tumor 1.

682 **(B)** Left: clonal phylogenetic tree of W010 reconstructed from CNV-based tumor clones (each
683 vertical color denotes a clone; x-axis indicates number of CNVs; connecting lines indicate
684 evolutionary order). Right: copy-number profiles of each clone (red = amplification; blue =
685 deletion; grey = neutral).

686 **(C)** Top: line plot showing longitudinal serum IgM levels (solid dots/lines; colors indicate
687 treatment response) and tumor burden (hollow dots, dashed lines; assessed as percentage
688 of intertrabecular lymphoplasmacytic cells from BM biopsy) across treatment cycles in W010.
689 Bottom: fishplot depicting relative proportions of the three tumor clones at baseline and
690 post-treatment cycles. Changes relative to baseline were calculated using scCODA Bayesian
691 modeling of compositional data; asterisks indicate statistical significance ($FDR < 0.05$).

692 **(D)** UMAP visualization of reclustered B/plasma cells from a representative patient with

693 sustained remission (W003). Each dot represents a single cell, colored by subgroup identity
694 or pseudotime order. Six panels display cell subtypes, BCR clonotypes, CNV-based tumor
695 clones, transcriptome-defined subclusters, pseudotime trajectories, and treatment cycle.
696 Black line segments denote transcriptome-inferred pseudotime paths.
697 **(E)** Left: CNV-based clonal phylogenetic tree of W003 (vertical colors represent clones; x-axis
698 indicates number of CNVs; connecting lines indicate evolutionary order). Right: copy-number
699 profiles of each clone (red = amplification; blue = deletion; grey = neutral).
700 **(F)** Top: line plot showing longitudinal serum IgM levels (solid dots/lines; colors indicate
701 treatment response) and tumor burden (hollow dots, dashed lines; proportion of
702 intertrabecular lymphoplasmacytic cells) in W003. Bottom: fishplot depicting relative
703 proportions of three tumor clones at baseline and post-treatment cycles, with statistical
704 testing by scCODA (FDR < 0.05).
705 **(G)** Stacked bar plot showing the proportions of tumor clone (for patients with detectable
706 CNVs) or transcriptome-defined tumor clusters (for patients lacking CNVs) across baseline
707 and post-treatment cycles. Each column represents one sample. Post-treatment changes
708 relative to baseline were assessed using scCODA (FDR < 0.05, asterisks). Annotation tracks
709 below indicate, from top to bottom: patient ID, treatment cycle, post-treatment response at
710 each time point, responder vs non-responder status, and clonal evolutionary pattern.

711 **Fig. 3 | Transcriptomic scoring based on resistant clones predicts ibrutinib efficacy, with**
712 **LYN identified as a core resistance gene and functional validation of BTK/LYN dual**
713 **inhibition in overcoming resistance.**

714 **(A)** Protein–protein interaction (PPI) network generated by STRING showing 130 shared
715 differentially expressed genes (DEGs) from resistant clones in patients with disease
716 progression, organized into 10 functional gene clusters. Different colors denote distinct gene
717 clusters, and each node represents a gene. The size of each circle indicates connectivity with
718 other members of the network, while the border color reflects expression direction (red,
719 upregulated; blue, downregulated). Colored edges represent interactions with genes within
720 the same cluster, whereas grey edges represent inter-cluster interactions. Upward and
721 downward arrows indicate overall up- or down-regulation of each gene cluster, calculated
722 using Ingenuity Pathway Analysis (IPA) z-scores.

723 **(B)** Bar plot depicting the representative functional pathways of the 10 gene clusters
724 identified by STRING. The y-axis represents gene clusters, and the x-axis represents the –
725 $\log_{10}(\text{FDR})$ enrichment values derived from over-representation analysis.

726 **(C)** Bar plot showing the mean gene importance of genes within the Waldenström’s Ibrutinib
727 Prediction (WIP) score gene set. The x-axis denotes 30 genes with mean gene importance >
728 0.6, and the y-axis indicates gene importance. Gene importance was calculated as the sum of
729 the min–max normalized absolute \log_2 fold change in resistant clones and the min–max
730 normalized connectivity of the gene in the PPI network. Connectivity and gene importance
731 were estimated by randomly sampling 100 genes from the PPI network 100 times. Error bars
732 represent SEM of mean gene importance. Bar border colors indicate direction of expression
733 (red, upregulated; blue, downregulated). Statistical significance of gene importance
734 differences was tested by Kruskal–Wallis test.

735 **(D)** Kaplan–Meier curves comparing progression incidence under ibrutinib treatment

736 between patients with high vs. low WIP scores. Hazard ratios (HRs) and 95% confidence
737 intervals (CIs) were calculated by Cox proportional hazards regression, and *P* values were
738 derived from log-rank tests. Risk tables display the number of patients at risk at each time
739 point.

740 **(E)** Western blot showing the effects of *LYN* knockdown on total and phosphorylated proteins
741 in multiple signaling pathways in BCWM.1 and MWCL-1 cells. Two independent shRNAs
742 (*LYN*-KD #1 and *LYN*-KD #2) were used for knockdown. Scr denotes scramble controls. GAPDH
743 served as a protein loading control. we performed densitometric analysis using ImageJ
744 (version 1.54p) to obtain semi-quantitative measurements of phosphorylated protein levels.
745 For each protein band, the mean gray value was extracted and normalized to the
746 corresponding GAPDH band within the same sample to account for loading variability.
747 Phospho-protein levels were quantified by densitometric analysis using ImageJ, normalized
748 to GAPDH, and expressed relative to the scramble control.

749 **(F)** Dose–response curves of cell viability in *LYN*-knockdown vs. scramble MWCL-1 cells after
750 72 hours of treatment with increasing concentrations of ibrutinib, measured by CellTiter-Glo
751 assay. The y-axis indicates viability normalized to DMSO controls, and the x-axis denotes drug
752 concentration (\log_{10} -transformed). Statistical analysis was performed using two-way ANOVA.

753 **(G)** Schematic diagram of the stepwise induction of ibrutinib-resistant cell lines. Naïve
754 BCWM.1 and MWCL-1 cells were progressively exposed to increasing concentrations of
755 ibrutinib (0.05 μ M to 3.0 μ M), with 2–3 passages per dose and cell medium refreshed every
756 2 days, over ~5 months.

757 **(H)** Dose–response curves showing cell viability of naïve (BCWM.1, MWCL-1) and resistant
758 (BCWM.1R, MWCL-1R) WM cells treated with ibrutinib alone for 72 hours, measured by
759 CellTiter-Glo assay. The y-axis represents viability normalized to DMSO controls, and the
760 x-axis denotes drug concentration (\log_{10} -transformed). Statistical testing was performed
761 using two-way ANOVA.

762 **(I)** Bar plot comparing *LYN* mRNA expression in naïve vs. ibrutinib-resistant BCWM.1 and
763 MWCL-1 cells. TaqMan qPCR assays were normalized to *GAPDH* (Δ Ct), and relative
764 expression was calculated by the $2^{(-\Delta\Delta$ Ct) method, with naïve cells serving as calibrators
765 (mean = 1). Bars represent mean \pm SEM. Statistical comparisons were performed using
766 two-sided Wilcoxon rank-sum test.

767 **(J)** Dose–response curves showing cell viability of naïve and ibrutinib-resistant MWCL-1
768 (MWCL-1, MWCL-1R) cells treated with ibrutinib alone, a BTK/*LYN* dual inhibitor (BTKi/*LYNi*),
769 or DMSO control for 72 hours, measured by CellTiter-Glo assay. The y-axis represents viability
770 normalized to DMSO controls, and the x-axis denotes drug concentration (\log_{10} -transformed).
771 Statistical testing was performed using two-way ANOVA.

772 **(K)** Western blot of total and phosphorylated BTK and *LYN* proteins in naïve and resistant
773 BCWM.1 and MWCL-1 cells treated for 16 hours with 0.5 μ M ibrutinib or 0.5 μ M BTKi/*LYNi*.
774 GAPDH served as a protein loading control. Phospho-protein levels were quantified by
775 densitometric analysis using ImageJ, normalized to GAPDH, and expressed relative to the
776 scramble control.

777 **Fig. 4 | Divergent pathway activities between early and late tumor clones in “evolution”**
778 **patients under ibrutinib treatment, with in vitro validation of post-treatment hypoxia**

779 **signaling.**

780 **(A)** Bubble plot showing pathway activity differences between early (left) and late (right)

781 tumor clones from “evolution” patients (W013, W010, W011, and W012) at multiple

782 treatment cycles (C6–C48), each compared to the baseline stage. The y-axis displays the 27

783 pathways significantly affected by ibrutinib, and the x-axis indicates sampling timepoints

784 from different patients. Bubble color represents the direction and magnitude of enrichment

785 (IPA-derived z-score; red, upregulated; blue, downregulated), while bubble size reflects

786 significance ($-\log_{10} P$ -value). Circles with black borders denote significant differences ($P <$

787 0.05). Annotation tracks at the bottom indicate treatment cycle, patient ID, and

788 post-treatment response status. Green boxes highlight pathways with significant changes

789 observed in at least 75% of patients at the timepoint.

790 **(B)** Violin plots depicting the dynamic changes in hypoxia signaling between early and late

791 tumor clones in “evolution” patients at baseline and subsequent post-treatment cycles.

792 Hypoxia scores were calculated using GSVA method and normalized by min–max scaling

793 within each patient. The y-axis shows GSVA scores, and the x-axis represents treatment

794 cycles. Colored dots within violins indicate group medians. LOESS curves fitted with

795 `geom_smooth` are shown (red, late clones; teal, early clones) with shaded 95% confidence

796 intervals. Statistical significance was assessed by two-way ANOVA.

797 **(C)** Violin plots with overlaid boxplots illustrating *HIF1A* expression in late tumor clones from

798 patients W010, W013, and W011 at baseline and across post-treatment cycles (C6–C48).

799 White dots within violins indicate medians. The red curve represents LOESS fitting (using the

800 `statsmodels` package), with shaded areas denoting 95% confidence intervals. Statistical

801 testing was performed with the Kruskal–Wallis test.

802 **(D)** Immunofluorescence staining of naïve and ibrutinib-resistant BCWM.1 (BCWM.1,

803 BCWM.1R) cells after treatment with DMSO or 1 μ M ibrutinib for 24 h, showing HIF-1 α

804 expression. Staining included HIF-1 α (purple), CD19 (green), DAPI (blue), and merged images.

805 Scale bar, 20 μ m.

806 **(E)** Flow cytometry analysis of HIF-1 α expression in naïve and ibrutinib-resistant cells treated

807 with DMSO or 1 μ M ibrutinib for 24 h. Left, normalized density plots (HIF-1 α –PE channel) for

808 BCWM.1/BCWM.1R (top) and MWCL-1/MWCL-1R (bottom) cells, with isotype control as

809 negative reference. Right, corresponding boxplots summarizing HIF-1 α expression across

810 groups. Statistical comparisons were performed using the two-sided Wilcoxon rank-sum test.

811 **Fig. 5 | Large expansion of GZMB⁺ CD8⁺ TEM cells with reduced cytotoxicity and**

812 **de-differentiation into CD27⁺ TCF7⁺ memory T cells in “evolution” patients under ibrutinib**

813 **treatment.**

814 **(A)** UMAP plots of all T cells showing T-cell subtype (left) and clone grouping (right). Each dot

815 represents a single cell. In the right panel, clone groups are defined as: Large clone (red; >10%

816 of all T cells in each sample), Medium clone (yellow; 1–10%), and Small clone (blue; <1%).

817 **(B)** Line plots showing dynamic changes in TCR diversity (measured by the Chao1 index)

818 across baseline and post-treatment cycles (C6–C48) in patients stratified by three clonal

819 evolutionary patterns of tumor cells. To account for differences in cell numbers across

820 sample, 100 T cells were randomly sampled without replacement 100 times per sample, and

821 the mean Chao1 index was calculated. Colored dots and connecting lines represent the mean

822 TCR diversity of individual patients across post-treatment cycles. The three smooth curves
823 with shaded areas indicate the overall fitted trends and 95% confidence intervals for the
824 three clonal evolutionary groups: green for “devolution”, gray for “no-evolution”, and purple
825 for “evolution”. Curves were fitted using the `geom_smooth` function with the LOESS method.
826 Statistical comparisons were performed using two-way ANOVA.

827 **(C)** Stacked bar plots showing the proportions of T cell clone groups across patients at
828 baseline and different post-treatment cycles (C6–C48). Colors denote clone groups, and each
829 column represents one sample. Annotation tracks below indicate patient ID, treatment cycle,
830 treatment response at each timepoint, overall responder status, and clonal evolutionary
831 pattern. Colors correspond to different categories.

832 **(D)** Concentric ring plots showing the hierarchical relationship between T-cell clones and
833 T-cell clusters in “evolution” patients (W013, W010, W011, and W012). The entire circle
834 represents all T cells (100%) from each patient, with the area of each inner sector
835 corresponding to the proportion of cells. The innermost ring denotes individual T-cell clones
836 (each color representing one clone; only the top 10 clones per patient are shown, with the
837 remainder grouped as “others”), the middle ring represents clone groups (Small, Medium,
838 Large), and the outer ring indicates the corresponding functional T-cell clusters.

839 **(E)** Line plots showing dynamic changes in the proportion of GZMB⁺ CD8⁺ TEM (KIR⁻) cells
840 among total T cells at baseline and post-treatment cycles (C6–C48) across different clonal
841 evolutionary groups. Colored dots and lines represent individual patients. Smoothed LOESS
842 curves with 95% confidence intervals are shown for the three groups (green, gray, and
843 purple). Two-way ANOVA was used for statistical testing.

844 **(F)** Boxplots of cytotoxicity scores for GZMB⁺ CD8⁺ TEM cells (KIR⁺ or KIR⁻) in patients
845 exhibiting large T cell expansion. The x-axis indicates treatment stages, and colors denote
846 clone groups. Missing boxes indicate absence of that clone group at the corresponding
847 timepoint. Two-sided Wilcoxon rank-sum test for two groups, Kruskal–Wallis test for 3
848 groups.

849 **(G)** Volcano plot of DEGs between GZMB⁺ CD8⁺ TEM cells with large expansion and those
850 without expansion (small clone) in patients W013, W010, and W011. The x-axis represents
851 \log_2 fold change, and the y-axis shows significance ($-\log_{10}$ adjusted *P*-value). Differential
852 testing was performed using the two-sided Wilcoxon rank-sum test implemented in `scanny`.
853 Genes were considered significant at adjusted $P < 0.05$ and $|\log_2 \text{fold change}| > 0.25$. Dots
854 are colored by differential direction (red, upregulated; blue, downregulated), and dot size
855 reflects significance. Representative DEGs are labeled.

856 **(H)** Line plots showing dynamic changes in cytotoxicity scores of GZMB⁺ CD8⁺ TEM cells
857 across baseline and post-treatment cycles (C6–C48) in patients grouped by three clonal
858 evolutionary patterns. Colored dots and lines indicate individual patients. Smoothed LOESS
859 curves with 95% confidence intervals are shown for the three groups (green, gray, and
860 purple). Two-way ANOVA was used for statistical testing.

861 **(I)** Heatmap showing expression of DEGs identified between “evolution” and “devolution”
862 patients within GZMB⁺ CD8⁺ TEM cells across all patient samples. The x-axis denotes genes,
863 and the y-axis represents patient samples. Expression values were scaled per gene (mean = 0,
864 SD = 1 across all samples). Red indicates upregulation, and blue indicates downregulation.
865 Left-side annotation tracks indicate patient ID, treatment cycle, and clonal evolutionary

866 pattern.

867 **Fig. 6 | Baseline transcriptional differences in GZMB⁺ CD8⁺ TEM cells between ibrutinib**
868 **responders and non-responders.**

869 **(A)** Volcano plot of DEGs in GZMB⁺ CD8⁺ TEM cells at baseline between non-responders and
870 responders to ibrutinib treatment. A total of 28 genes were upregulated and 30 genes were
871 downregulated. The x-axis represents log₂ fold change, and the y-axis shows statistical
872 significance ($-\log_{10}$ adjusted *P*-value). Differential expression was assessed using a
873 pseudobulk approach implemented in PyDESeq2. Genes were considered significant at
874 adjusted *P* < 0.05 and $|\log_2$ fold change| > 0.25. Dots are colored by direction of regulation
875 (red, upregulated; blue, downregulated), with dot size reflecting significance level.
876 Representative DEGs are labeled.

877 **(B)** Heatmap showing expression of DEGs in GZMB⁺ CD8⁺ TEM cells at baseline between
878 responders and non-responders. The x-axis denotes genes, and the y-axis represents patient
879 samples. Expression values were scaled per gene (mean = 0, SD = 1 across all samples). Red
880 indicates upregulation, and blue indicates downregulation. Left-side annotation tracks
881 indicate treatment response status (Responder: Yes/No), patient ID, and clonal evolutionary
882 pattern.

883 **(C)** Left: violin plots with overlaid boxplots showing *GNLY* expression in baseline GZMB⁺ CD8⁺
884 TEM cells from responders and non-responders. Right: violin/boxplots of the non-responding
885 TEM score between the two groups. Individual points are colored by patient. Statistical
886 comparisons were performed with the two-sided Wilcoxon rank-sum test, and the area
887 under the ROC curve (AUC) was calculated. Dashed lines indicate optimal ROC cutoffs.

888 **(D)** Scatter plots with regression lines showing correlations at baseline between tumor cell
889 WIP scores and *GNLY* expression in GZMB⁺ CD8⁺ TEM cells (left), and between WIP scores
890 and the non-responder TEM score of GZMB⁺ CD8⁺ TEM cells (right). Dots are colored by
891 patient. Linear regression was used for fitting; shaded areas represent 95% confidence
892 intervals. Correlations were tested with Pearson's method, and correlation coefficients (*r*)
893 with *P* values are shown.

894 **(E)** Heatmap showing expression of the non-responder TEM signature in GZMB⁺ CD8⁺ TEM
895 cells from healthy donors (HD) and from baseline WM samples stratified by clinical response
896 (responder vs non-responder). The x-axis denotes signature genes and the y-axis denotes
897 patient samples. Expression values were scaled per gene (mean = 0, SD = 1 across all
898 samples). Left-side annotation tracks indicate sample group (HD vs WM), response status
899 (WM only; responder: yes/no), and individual sample identity.

900 **(F)** Violin plots comparing *GNLY* expression (top) and the non-responder TEM score (bottom)
901 in GZMB⁺ CD8⁺ TEM cells across HD, responders, and non-responders. Top, *GNLY* expression;
902 bottom, non-responder TEM score. Each dot represents one patient sample; boxplots
903 indicate the median and interquartile range. Statistical testing was performed using the
904 Kruskal–Wallis test followed by Dunn's multiple comparisons test with Holm adjustment
905 (two-sided).

906 **(G)** Violin plots comparing *PDCD1* expression in GZMB⁺ CD8⁺ TEM cells between HD and WM
907 samples. Statistical comparisons were performed using the two-sided Wilcoxon rank-sum
908 test.

909 **(H)** Violin plots comparing *PDCD1* expression in GZMB⁺ CD8⁺ TEM cells from WM patients
910 stratified by clonal evolutionary pattern (Devolution, No-evolution, Evolution). Statistical
911 testing was performed using the Kruskal–Wallis test followed by Dunn’s multiple
912 comparisons test with Holm adjustment (two-sided).

913 **(I)** Schematic diagram summarizing dynamic tumor clone and T-cell changes in patients with
914 disease progression under ibrutinib treatment (from baseline to post-treatment, left to right).
915 In progressing patients, late tumor clones at baseline exhibit increased *LYN* expression,
916 elevated WIP scores, and complex CNVs. During ibrutinib treatment, early tumor clones
917 gradually diminish while late clones expand, leading to clonal shift, accompanied by
918 increased HIF-1 α expression in late clones. In parallel, GZMB⁺ CD8⁺ TEM cells from
919 progressing patients exhibited higher baseline *PDCD1* and lower *GZMY* expression, and
920 expanded after treatment with increased hypoxia signaling and upregulation of *BCL2*, *CD27*,
921 and *TCF7*, while remaining persistently low in cytotoxicity.

Figure 1

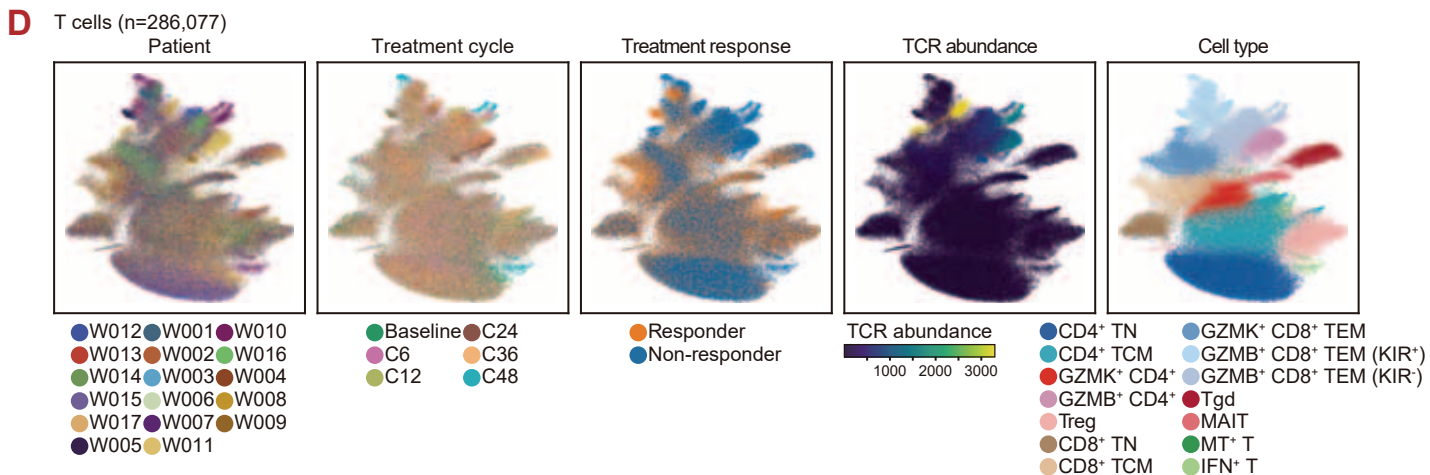
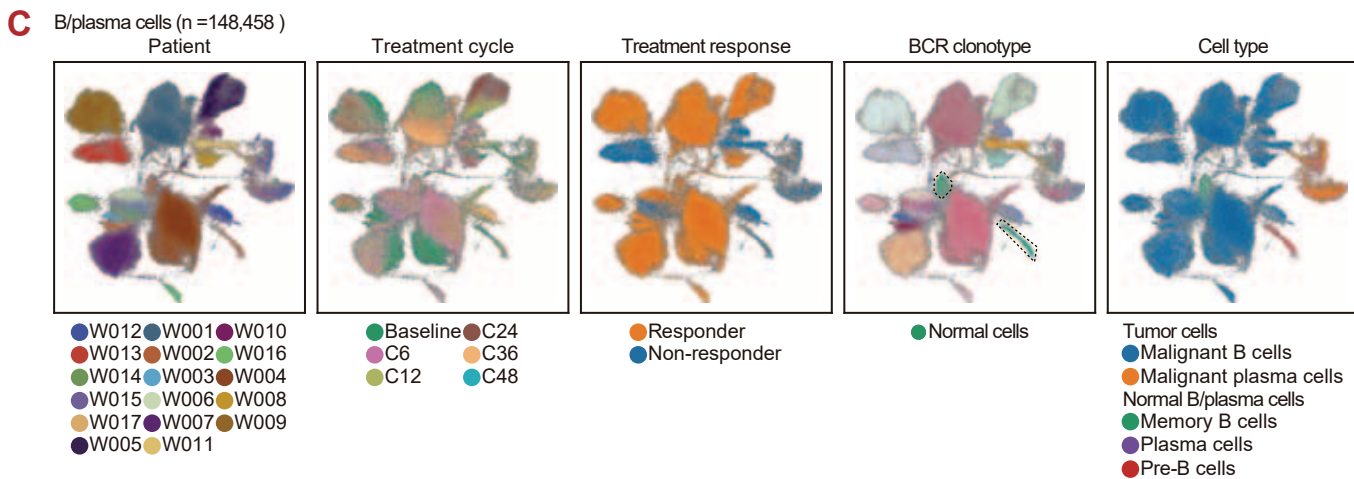
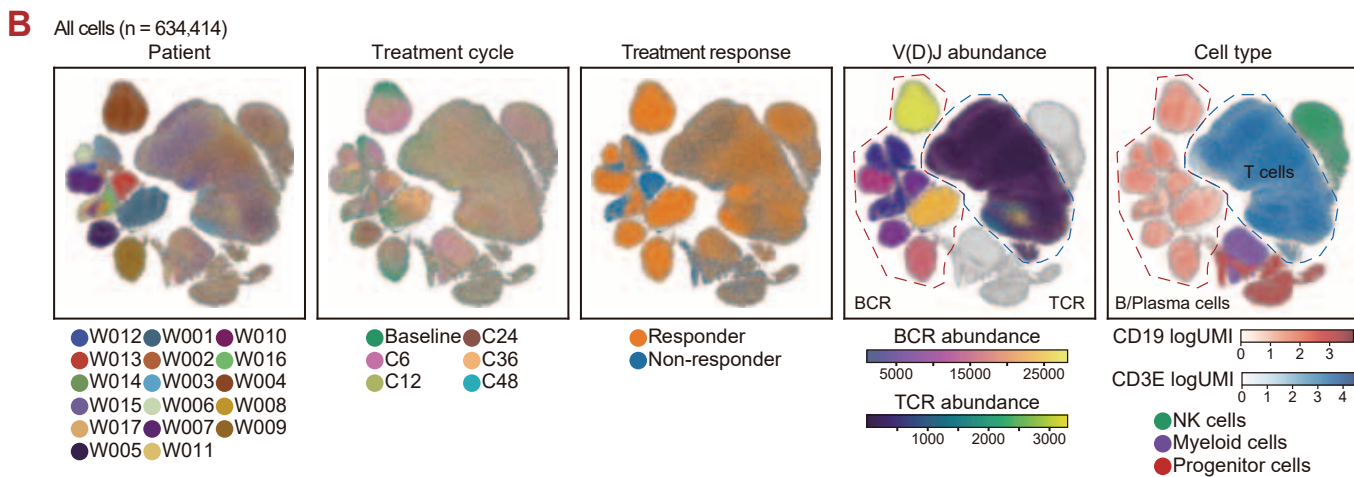
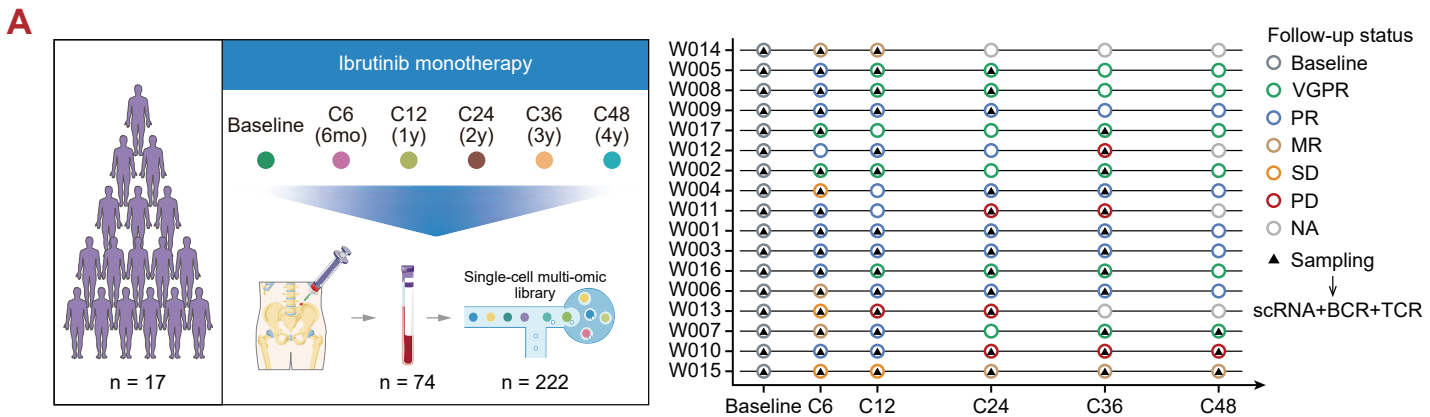
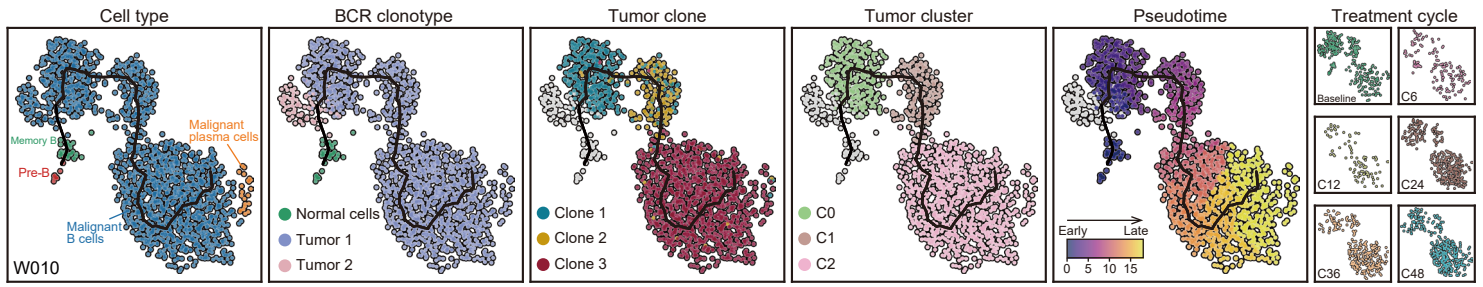
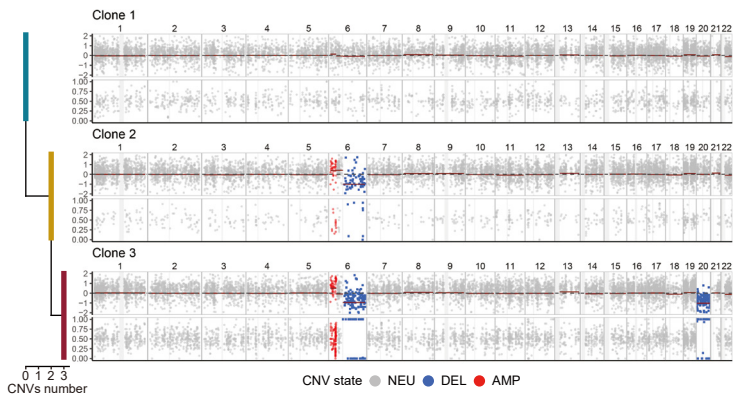
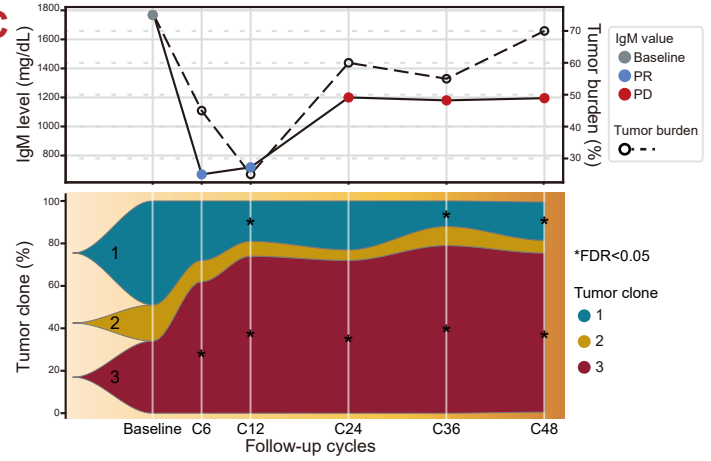
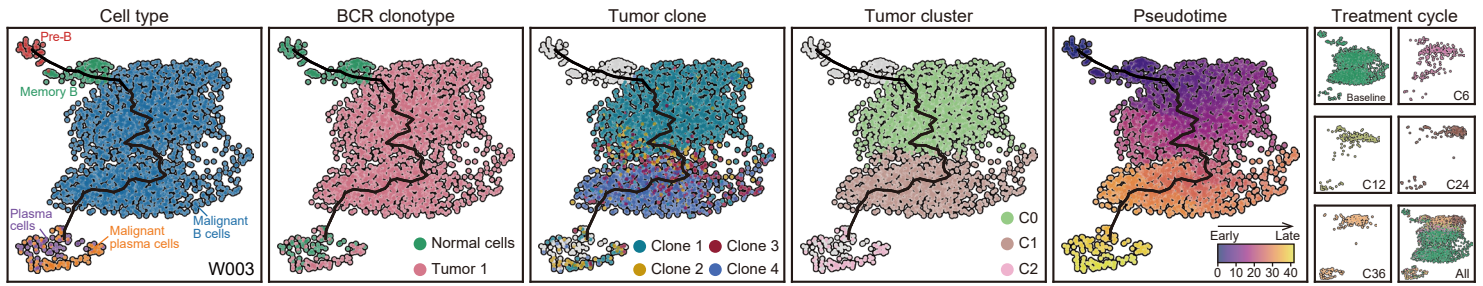
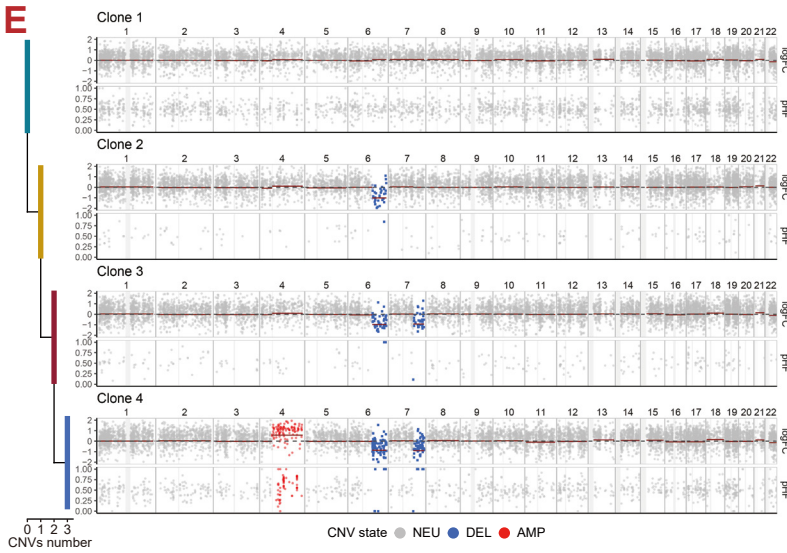
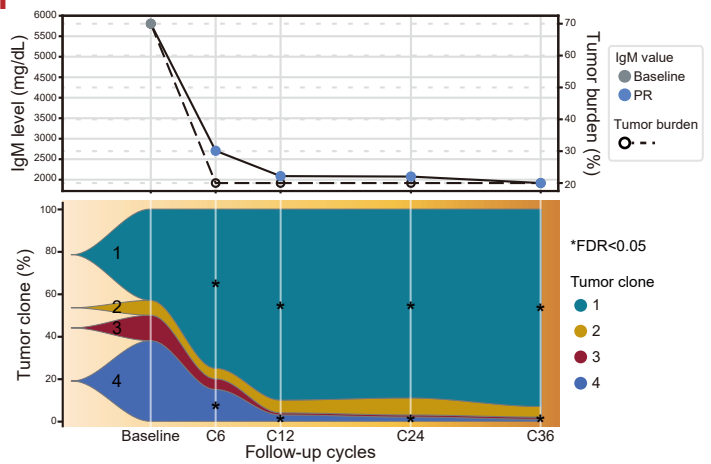
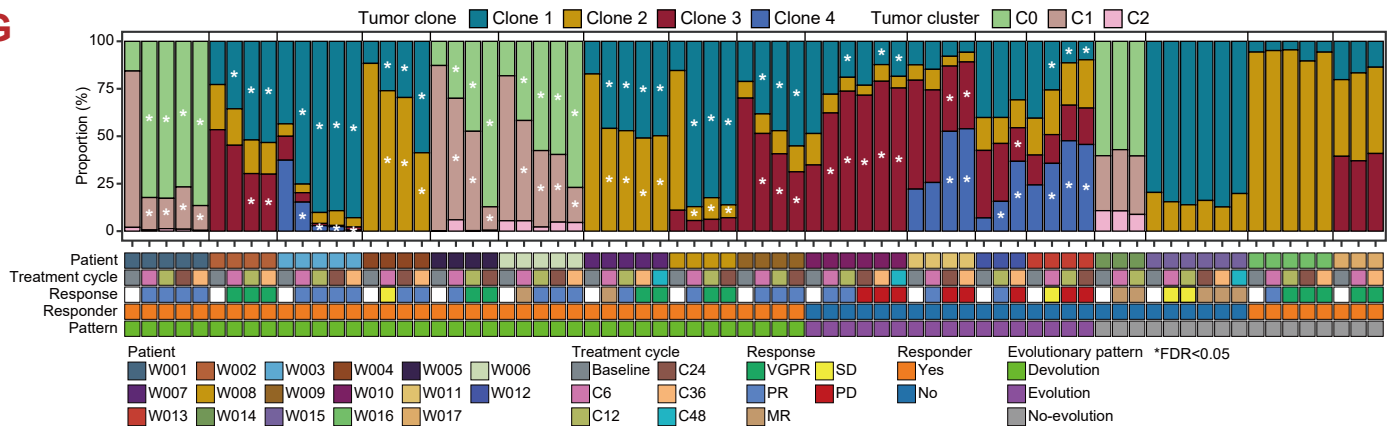


Fig. 2**A****B****C****D****E****F****G**

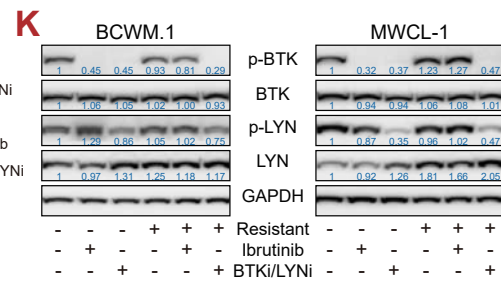
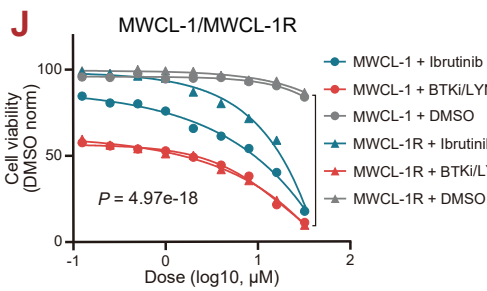
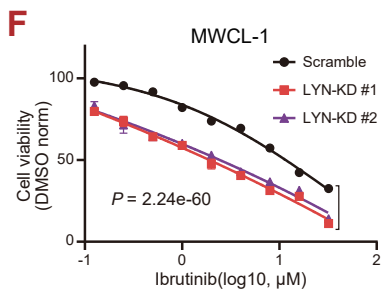
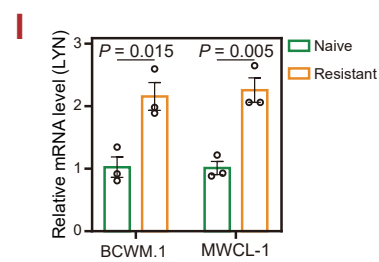
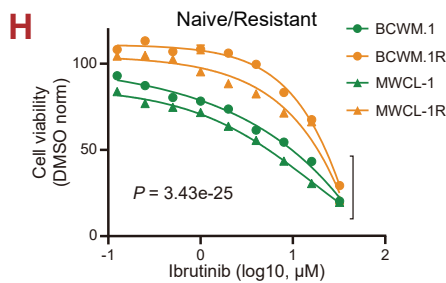
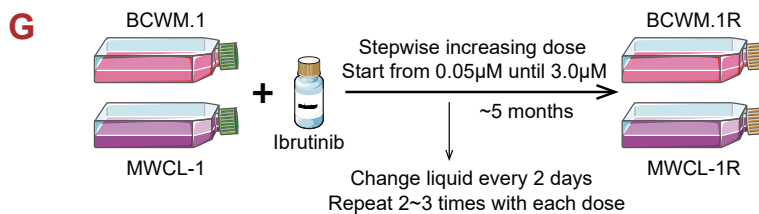
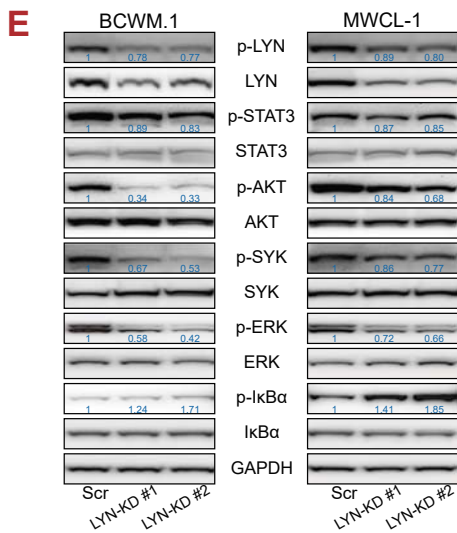
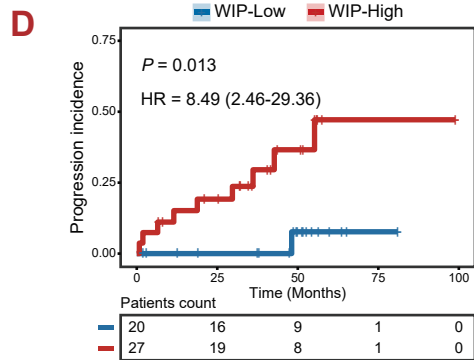
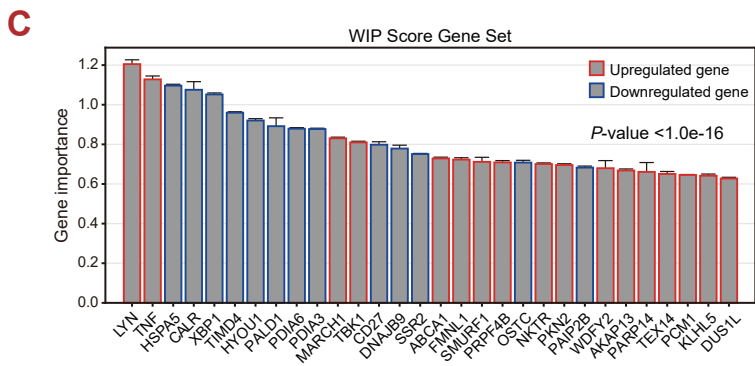
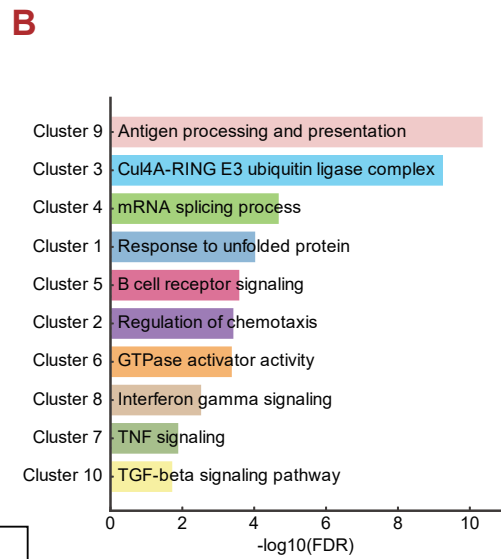
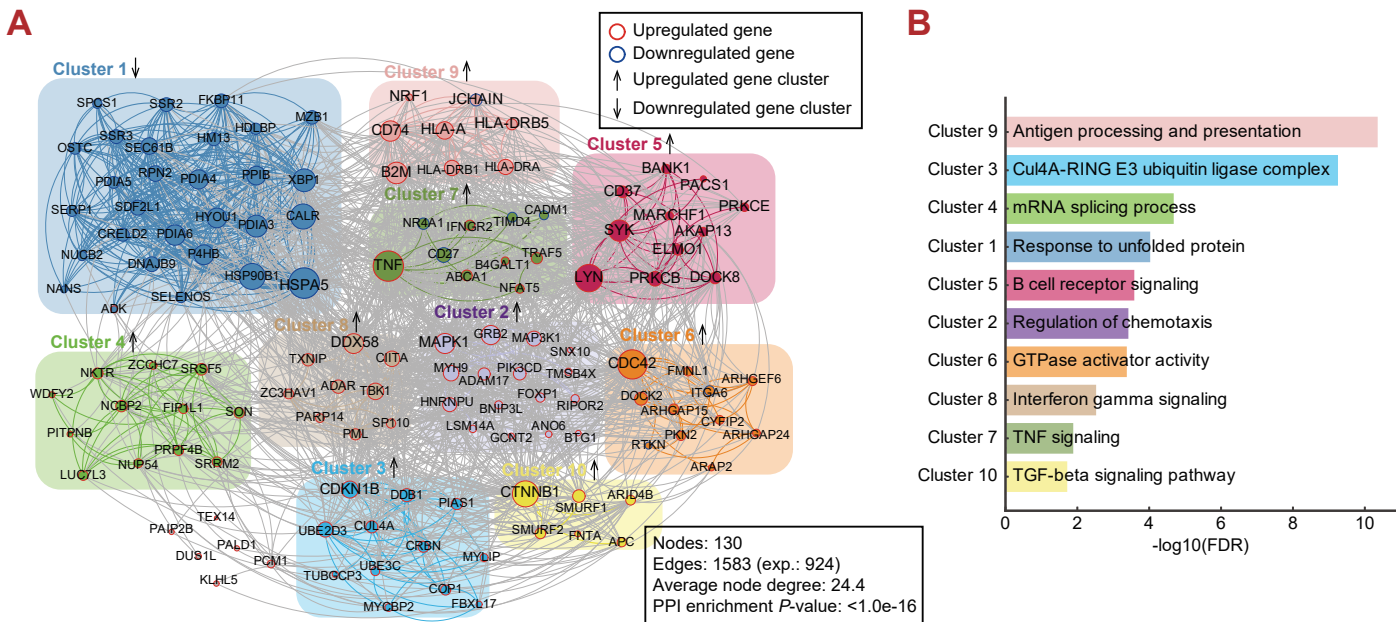


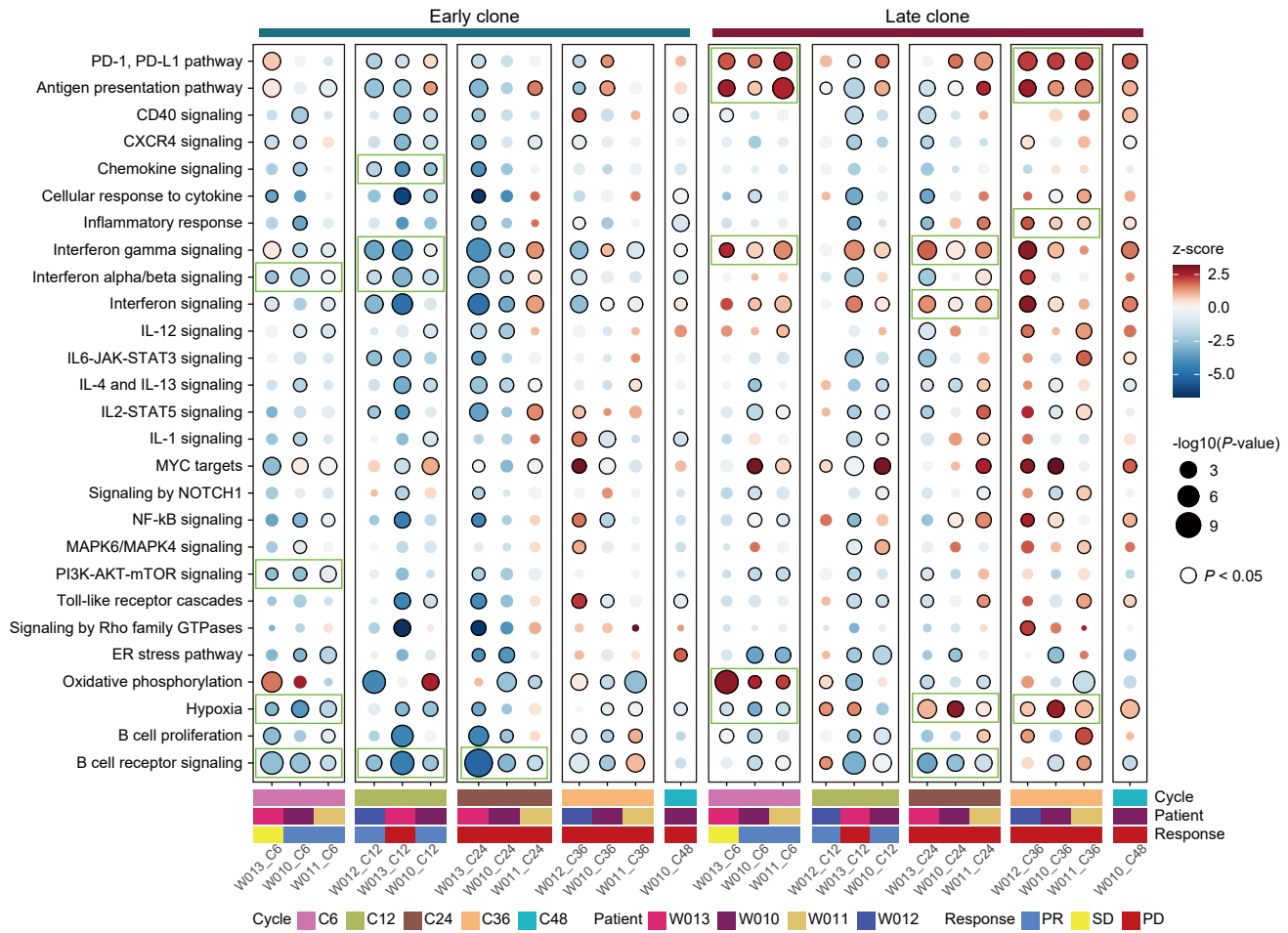
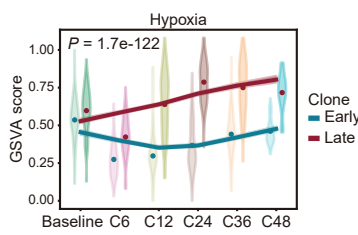
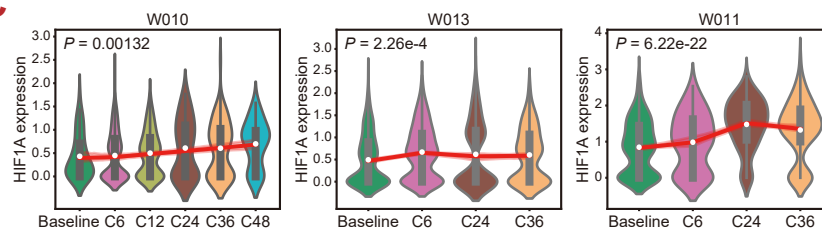
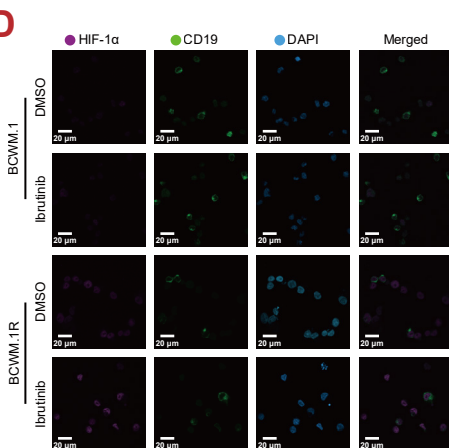
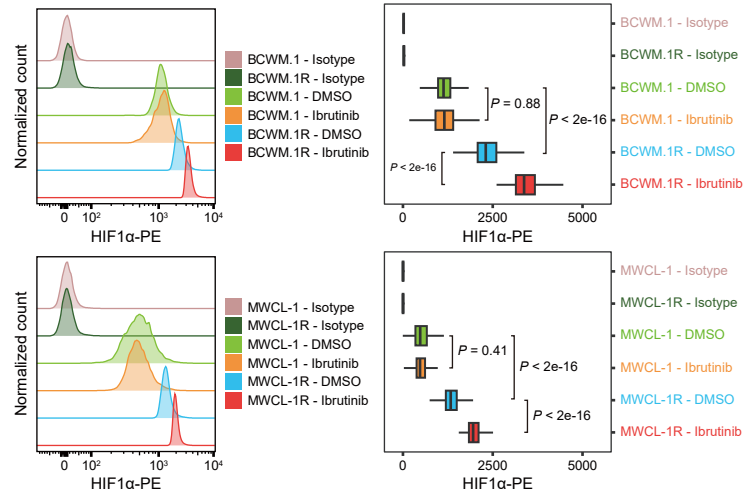
Fig. 4**A****B****C****D****E**

Figure 5

